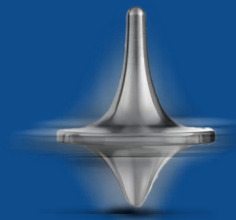


CLIENT STORY



Your Regulatory Technology Partner

CASESTUDY

XM – Trading Point

RegTek+ Onboarding, KYC & AML Solution

1

OFFICES

2

MODULES USED

4

MONTHS OF
WORK

XM is a Cyprus-based brokerage platform which offers FOREX trading, along with trading in stocks, commodities, equity indices, precious metals and energies. Founded in 2009 and licensed by CySEC, the well-established international investment firm deals with thousands of clients on a daily basis, and offers online brokerage services to clients around the world.

THE CHALLENGE:

XM operates in a high-risk environment. Consequently, there was a need for a fully AML/KYC Compliance automated solution which would ensure compliance and mitigation of risk across all processes. For the Firm's risk to be mitigated, both clients and transactions needed to be fully monitored at all times – meaning software needed to be smoothly integrated without loss of workflow.

THE RegTek+ SOLUTION

In the case of XM, iSPIRAL's RegTek+ Solution offered the client the full spectrum of KYC/AML management they required in order to comply with existing regulations, delivering software which does not record but rather monitors and maintains the data at all times. This fully-integrated solution, boasting multifaceted intelligence, created a uniformity in Compliance Management for all the firm's entities, ensuring that the client enjoyed best practices on KYC and AML Solutions while being instantly alerted to suspicious or fraudulent transactions.

BENEFITS:

iSPIRAL's RegTek+ Onboarding, KYC & AML Solution allowed XM to commit, across the board, to the highest standards of compliance. This comprehensive solution was smoothly integrated with the Firm's existing software, allowing XM to continue work uninterrupted while ensuring that the risk to both company and clients was fully and effortlessly mitigated at all times.



WHAT OUR CUSTOMERS SAY

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