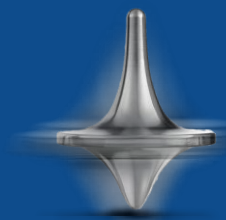


CLIENT STORY



Your Regulatory Technology Partner

CASESTUDY

OMEGA FUNDS INVESTMENT

RegTek+ Onboarding, KYC & AML Solution

17

OFFICES

850

USERS

15

MODULES USED

6

MONTHS OF
WORK

OMEGA FUNDS INVESTMENT LTD offers its international clientele a wide array of financial services, including the reception and transmission of orders in relation to one or more financial instruments; the execution of orders on behalf of clients; and portfolio management. Based in Cyprus and licensed by the Cyprus Securities and Exchange Commission (CySEC), and enjoying significant links with reputable financial institutions across Russia, Europe and the US, the Firm also offers safekeeping and administration of financial instruments for the accounts of clients, the granting of credits or loans to an investor; and foreign exchange services connected to the provision of investment services.

Your
Regulatory
Technology
Partner

THE CHALLENGE:

Omega Funds was lacking a comprehensive framework to manage the challenging, complex, and time-consuming issues that plague the regulatory environment. Although procedures were in place to protect against fraud and money laundering, the Firm lacked a holistic solution to cope with the onslaught of data involved in KYC Profiling and onboarding, and transaction monitoring.

**THE
RegTek+
SOLUTION:**

iSPIRAL determined that Omega Funds required an automated solution to save on time and costs. With the installation of RegTek+ Solution software, the Firm was able to effortlessly automate many of their previously time-consuming processes, including the creation of client stories from scratch, the registering of pre-existing transactions, and the recording and maintenance of all KYC & AML data..

BENEFITS:

Thanks to iSPIRAL's state-of-the-art RegTek+ Onboarding, KYC & AML Solution, Onega Funds now enjoys both a much improved workflow and vastly eased compliance management procedures. Consequently, the Firm is now able to create client stories in an instant, while ensuring all KYC and AML procedures are managed efficiently and effortlessly. This has boosted the Firm's trustworthiness and status amongst both its existing and potential clients.

WHAT OUR CUSTOMERS SAY

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