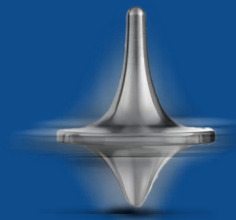


CLIENT STORY



Your Regulatory Technology Partner

CASESTUDY

HYCM

RegTek+ Onboarding, KYC & AML Solution

1
OFFICES

2
MODULES USED

4
MONTHS OF
WORK

HYCM is a leading provider of contracts for difference (CFDs) and FOREX trading services, with offices across the world's leading financial centres. With an operational history extending back for more than four decades, the company serves clients in over 140 countries and has earned many global awards of excellence.

THE CHALLENGE:

HYCM approached iSPIRAL with a need for a complete view and management of the organisation's risk environment. The Firm specifically required that their KYC and AML procedures be streamlined to facilitate enhanced due diligence upon onboarding and throughout the client life cycle, and that the ease and efficiency of monitoring client transactions could be increased.

THE RegTek+ SOLUTION

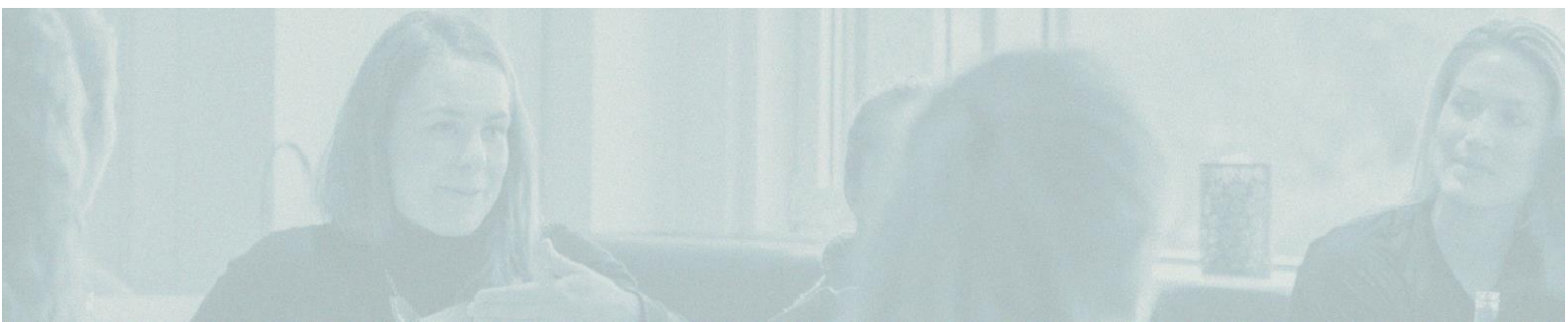
HYCM chose a live integration of the RegTek+ KYC, AML and Transaction Monitoring modules, which allows for live updating of the platform and alert the client to transactions of a fraudulent nature. The provision of this fully-automated, robust solution offered the client the customisable management they required in order to comply with existing regulations.

Risk Assessment, Case Management, and the full array of the Platform's Modules were seamlessly integrated with existing software, allowing the Firm to streamline and customise all its day-to-day-to-day compliance operations, from onboarding to client acceptance, transaction monitoring and screening, detection of suspicious activity, and the management of investigations.

BENEFITS:

The customisable modules of iSPIRAL's RegTek+ Onboarding, KYC & AML Solution allowed HYCM to commit, across the board, to the highest standards of compliance, providing the Firm with a complete view and effortless management of its risk environment. As a fully-integrated solution with multifaceted intelligence, the tool was able to take into consideration the Risk Model approach of all brand identities of HYCM, creating a uniformity in compliance management for all the firm's entities.

This solution now safeguards HYCM and its clients against fraudulent activities, allowing the Firm to easily and constantly monitor any suspicious and unusual activity.



WHAT OUR CUSTOMERS SAY

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